



# Atlantic States Marine Fisheries Commission

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## Technical Report on Gonadal-Somatic Index-Based Monitoring System for Atlantic Herring Spawning Closures in US Waters

for Draft Amendment 3 to the Atlantic Herring Fishery Management Plan

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### Introduction

While Atlantic herring reproduce in the same general season each year, the onset, peak and duration of spawning may vary by several weeks annually (Winters and Wheeler, 1996). It is believed that this behavioral plasticity is an evolutionary adaptation that takes advantage of optimal oceanographic conditions (e.g. temperature, plankton availability, etc.) to maximize offspring survival (Sinclair and Tremblay, 1984; Winters and Wheeler, 1996). In an effort to protect the integrity of the spawning stock and allow for increased recruitment, the ASMFC developed a system of seasonal spawning closures in the early 1990s that accounted for this interannual variability in spawning time. Historically, managers have focused on protecting the bulk of spawning during the fall season (August through October), but Atlantic herring are also known to spawn from late July through December. Acknowledging that macroscopic identification of the maturity stage of individual fish is a somewhat subjective process, the closure rule was based on a female gonadal somatic index (GSI), which is assumed to increase linearly as herring approach full maturity (Figures 1 and 2; Equation 1).

$$1) \text{ GSI} = 100 \times [W_{\text{gonad}}] / [W_{\text{gonad}} - W_{\text{total}}]$$

At the time of the rule's creation, it was recognized that smaller herring generally have lower GSI values than larger herring (Figure 3). Consequently, separate triggers were established for two size classes: GSI = 15 for 23-27 cm; and GSI = 20 for 28+ cm. According to the closure rule, once two consecutive samples of herring achieve an average female GSI in excess of either trigger, the fishery closes for four weeks. Because all GSI samples are obtained directly from the commercial herring fishery, it is not always possible to collect sufficient data to inform the start of the spawning closure. As such, default closure dates were established for each of three areas that presumed a general north-south progression of spawning (Table 1). Despite the design of the closure system, it is fairly common to find spawning herring in fishery samples after the closure. To counteract this, a closure extension rule was established that mandated a two-week

additional closure if fishery-dependent sampling revealed that greater than 25% of a post-closure sample contained fish in spawning condition (Stage V or VI).

When the rules were first established in the early 1990s, limited data were available to derive the critical parameters of the GSI-based spawning closure system (i.e., size categories; GSI triggers; default dates; closure duration). Given recent concerns over the adequacy of the system, which initiated the development of Draft Amendment 3 to the Interstate Atlantic Herring Fishery Management Plan (FMP), the Herring Plan Development Team felt that a re-examination of these parameters was warranted in light of an additional two decades worth of GSI sampling data.

### **Factors Affecting GSI**

There is substantial variability in average GSI from one sample to the next, and it is often unclear whether this change is tracking the expected progression of gonad development of the population or is simply a function of the fish size, sample location, gear type, or year. The combined MADMF/MEDMR dataset of fishery-dependent samples includes 8,474 GSI observations (5,435 maturity observations) from 385 samples and covers three inshore spawning areas (Eastern Maine, Western Maine, Massachusetts-New Hampshire); three gear types (purse seine, midwater trawl, and bottom trawl); 15 years (1998-2013); three months (Aug-Oct); and 13 length bins (from 22 to 34 cm). Unfortunately, data are lacking for many factor level combinations (e.g., MWT samples are generally unavailable at the same time/area as other gear types), thereby preventing an analysis of the simultaneous influence of each factor on GSI/maturity using the full dataset. Nonetheless, we can evaluate the influence of several factors by examining a subset of the data. To this end, a generalized linear model (GLM) relating the GSI of female herring to a suite of factors ( $GSI \sim DAY + YEAR + LENGTH + AREA$ ) was constructed using data from non-midwater trawl trips from the years 2004-2013.

#### *Size*

The current size-based closure system assumes that smaller herring achieve full maturity at a lower GSI than larger herring. While this has been demonstrated for the closely related Pacific herring (Ware and Tسانichuk, 1989), there is little evidence for such a relationship in our sample data (Figure 4). An alternative explanation for the observed size-GSI relationship (Figure 3) is a size-dependent arrival on the spawning ground (i.e., larger herring spawn earlier). This phenomenon had been documented in several other herring populations (Boyar 1968; Ware and Tanasichuk, 1989; Oskarsson et al., 2002; Slotte et al., 2000), and is believed to be related to a size-dependent maturation process (Ware and Tanasichuck, 1989), or swimming speed (i.e. larger herring arrive earlier to spawning grounds) (Slotte et al, 2000). Regardless, there is clear evidence of a decreasing average fish size as the spawning season progresses (Figure 5).

While it is true that smaller GOM herring generally have lower GSI than larger fish (at a given point in time), it is likely that all sizes achieve a similar maximum GSI, just at different times. As

expected, the GLM estimated a strong positive relationship between length and GSI (Table 2 - for every 1 cm increase in length, there is a corresponding increase in GSI of 1.84 points). This slope for the LENGTH parameter can be used to standardize GSI observations to a common herring size, thereby removing the influence of length from GSI sample data.

### *Year*

The strongly significant year effect indicates that the GSI for a given length/date may shift by six (6) or more points from year to year (Table 3). This suggests that the onset of spawning can vary by five or more weeks, underscoring the need for a GSI-based monitoring system instead of fixed closure dates. Several other studies corroborate this level of interannual variability in spawning time (Boyar 1968; Grimm 1983; Stevenson 1989; Winters and Wheeler 1996).

### *Day*

The slope of the DAY parameter (0.19) in the GLM model represents the rate at which GSI increases per day, after controlling for the effects of other factors. Theoretically, this rate could be used to forecast the date when GSI (after adjusting for LENGTH) exceeds a trigger value from a single sample of fish. However, there is likely some interannual variability in this rate, and it would be more prudent to use samples from within a season to estimate the slope of the DAY parameter to forecast a closure date.

### *Area*

The Eastern Maine (EM) spawning area was identified as having a significantly higher GSI than the other two areas, meaning that spawning occurs earlier in EM than elsewhere. Interestingly, the Western Maine (WM) and Massachusetts-New Hampshire (MA-NH) spawning areas do not appear to have significantly different spawning times. This suggests that these two areas should have a similar default date, or could even be combined to increase the number of samples available for informing spawning closures. Several earlier studies describe the timing of herring spawning in the GOM through the use of fishery-dependent maturity data and direct observation of demersal egg beds (Table 3 - Boyar et al., 1973; Cooper et al., 1975; McCarthy et al., 1979; Stevenson 1989). While these investigations confirm an earlier spawning time in EM than in MA-NH, there is no historical evidence to inform the timing of spawning in the WM area.

### *Fishing Gear*

An alternative GLM was attempted that included gear type (bottom trawl vs purse seine) as an additional predictor variable ( $GSI \sim DAY + YEAR + LENGTH + AREA + GEAR$ ); While GEAR was a marginally significant predictor of GSI, this more saturated model did not improve fit to the data, as measured by the Bayesian Information Criterion (BIC). This suggests that it is appropriate to combine samples obtained from these gear types. It should be noted that midwater trawl samples were excluded from this analysis, as this gear rarely operates at the same

time/location as the other gears, preventing an objective determination of whether this gear type influences the GSI of a sample.

### **Proposed Changes to the Closure System**

Given that larger herring spawn earlier, it makes sense to standardize GSI observations to a large size class (e.g., 30 cm – 95<sup>th</sup> percentile of observed lengths), so that the closure period is inclusive of most spawners. Therefore, the observed GSI of each individual fish should be adjusted using the formula (Formula 2), where  $a$  is the slope of the length parameter from the GLM ( $a=1.84$ ) and  $b$  is the reference length class ( $b=30$  cm):

$$2) \text{ GSI}_{30} = \text{GSI}_{\text{obs}} + a * (b - \text{TL}_{\text{cm}})$$

Herring are determinate spawners, releasing all of their eggs in a single batch (Kurita and Kjesbu, 2008). Therefore, spawning can be considered imminent at the end of Stage V (i.e., full maturity). However, a range of GSI values has been observed within Stage V that likely represents the final progression of the maturity cycle (Figure 6). Therefore, a point near the high end of the distribution of Stage V GSI values could be considered a reasonable measure of the onset of spawning. Managers could select different points from this distribution as a trigger value, depending on their objectives or risk tolerance. A higher value would shift the fishery closure nearer to the expect onset of spawning, whereas a lower value would shift the closure earlier to provide more protection to pre-spawning fish.

Once the fishery-dependent sampling program has a sufficient number of samples (e.g., a minimum of three) with a significant positive slope to the  $\text{GSI}_{30} \sim \text{DAY}$  relationship ( $\alpha = 0.05$ ), a fishery closure date could be forecasted (i.e., the date when  $\text{GSI}_{30}$  exceeds  $\text{GSI}_{\text{trigger}}$ ). This forecast could be updated as additional samples are acquired and an official closure date selected when the forecast is within a certain number of days (e.g., 5 days). If insufficient samples are available to predict the  $\text{GSI}_{\text{trigger}}$  date prior to the default closure date, the default date would apply.

Using GSI sample data from previous seasons, we can estimate the date at which a  $\text{GSI}_{\text{trigger}}$  would have been reached in each year (Figure 7). The average trigger date provides some representation of what an appropriate default closure date might be (Figure 8). Depending on the trigger value used, the average date for the MA-NH area is 4-24 days later than the most robust literature account for this area, which observed the arrival of herring egg beds on Jeffreys ledge between 1972 and 1978 (Table 3 – McCarthy et al., 1979). Most of the contemporary GSI sampling effort has been focused inshore of Jeffreys Ledge, suggesting spatial and/or interannual variation of spawning time within this area. Unfortunately, there are no literature sources available to inform the default date for Western Maine. The GLM model found no significant difference between the two areas; therefore, it appears reasonable to combine the two areas, increasing the number of samples available to inform a larger Tri-State (WM-MA-NH) spawning area (Table 2). With such few GSI samples available to describe the EM area, the historical

information of when herring eggs have been observed on lobster traps is likely more applicable for this area (Table 3 – Stevenson 1989).

Contemporary GSI observations are not particularly useful for describing the duration of the spawning period, because fishery-dependent samples are not available once the closure commences. However, several earlier studies in the GOM concur that the typical duration of herring spawning within a particular area is approximately 40 days (Table 3). Therefore, it appears the current 4-week closure period is inadequate and increasing to a 6-week closure (42 days) would provide a better match for the available information on the duration of GOM herring spawning.

By using the sequence of individual samples obtained in previous years, we can apply the proposed closure rules to simulate the performance of the forecasting algorithm. For example, in 2011 a September 11 closure would have been announced on September 6, assuming a choice was made to select a closure date at five days prior (Figure 9).

There are several benefits to the GSI-based closure system as outlined in this paper:

- 1) By providing a forecasted closure date once an increase in  $GSI_{30}$  is detected, all interested parties (samplers, managers, industry) will have advance notice as to when the spawning closure is likely to occur, allowing them to plan their activities accordingly.
- 2) Because the forecasting model uses the GSI information from all samples to project a closure date, there isn't pressure to obtain two consecutive samples just prior to spawning, a task that has proven difficult in many years. For this reason, default closure dates due to insufficient samples would occur less often.
- 3) Aligning the assumptions of the closure system with the current understanding of the reproductive ecology of herring will improve the accuracy of and maximize the effectiveness of spawning closures.
- 4) By directly taking into account the effect of length on GSI, perceived discrepancies between sampling programs (MADMF, MEDMR) can be reconciled.

Ideally, we would have GSI and maturity samples from before, during, and after the spawning season. This would provide a better idea of maximum GSI (i.e. appropriate trigger value), and how that coincides with the presence of Stage V (full maturity) and Stage VI (spawning) fish. Unfortunately, because the GSI-monitoring program is entirely fishery-dependent, there are essentially no samples available once the spawning closure begins. A directed fishery-independent effort to obtain herring samples during and after the closure could provide this information and be used to further refine the parameters of the closure system in the future.

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**Table 1.** Current default dates for herring spawning closures in the GOM

Spawning Closure Area	Default Closure Date
Eastern Maine (EM)	August 15 <sup>th</sup>
Western Maine (WM)	September 1 <sup>st</sup>
Massachusetts/New Hampshire (MA-NH)	September 21 <sup>st</sup>

**Table 2.** Output from GLM (GSI ~ DAY + YEAR + LENGTH + AREA).

## ANOVA Table:

	Df	Deviance	Resid. Df	Resid. Dev	F	Pr(>F)
NULL			4052	131631		
J	1	18802	4051	112829	1032.017	< 2.2e-16 ***
as.factor(YEAR)	9	4554	4042	108275	27.773	< 2.2e-16 ***
LENGTH	1	32700	4041	75575	1794.853	< 2.2e-16 ***
AREA	2	1990	4039	73585	54.627	< 2.2e-16 ***

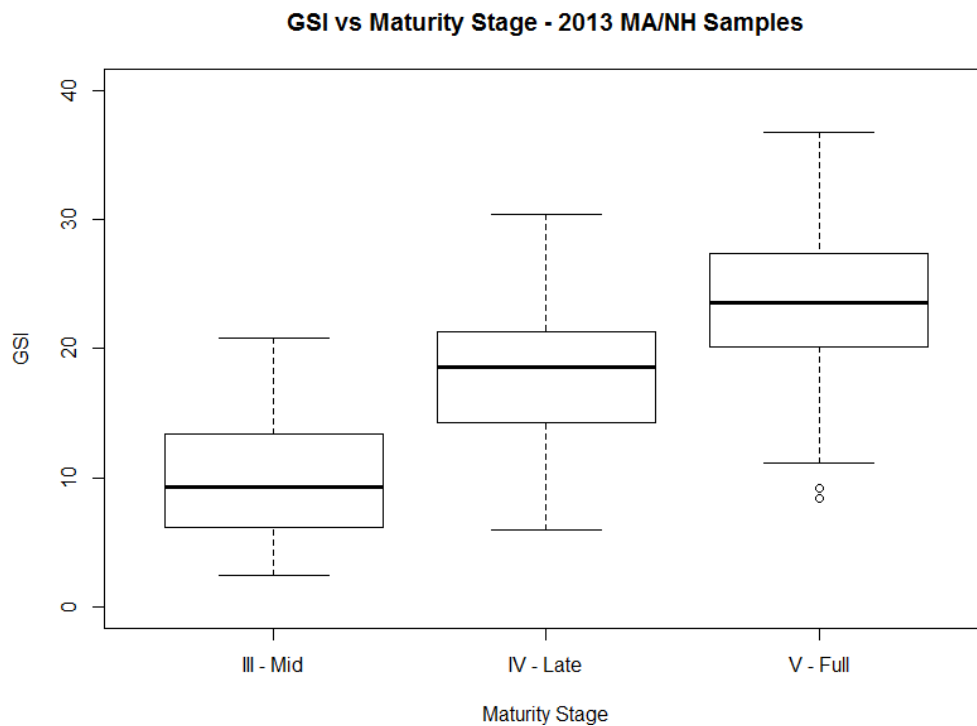
## Coefficients:

	Estimate	Std. Error
(Intercept)	-83.585212	1.949353
J	0.190262	0.005731
as.factor(YEAR)2005	1.514119	0.595370
as.factor(YEAR)2006	2.999203	0.673709
as.factor(YEAR)2007	1.297457	0.551941
as.factor(YEAR)2008	1.573861	0.630355
as.factor(YEAR)2009	1.881865	0.572551
as.factor(YEAR)2010	0.889922	0.591108
as.factor(YEAR)2011	6.144499	0.572099
as.factor(YEAR)2012	5.147404	0.576039
as.factor(YEAR)2013	5.373736	0.572403
LENGTH	1.838863	0.042996
AREAMA-NH	-2.504169	0.325561
AREAWME	-2.775418	0.265547

**Table 3.** Literature accounts of the timing and duration of herring spawning in the GOM.

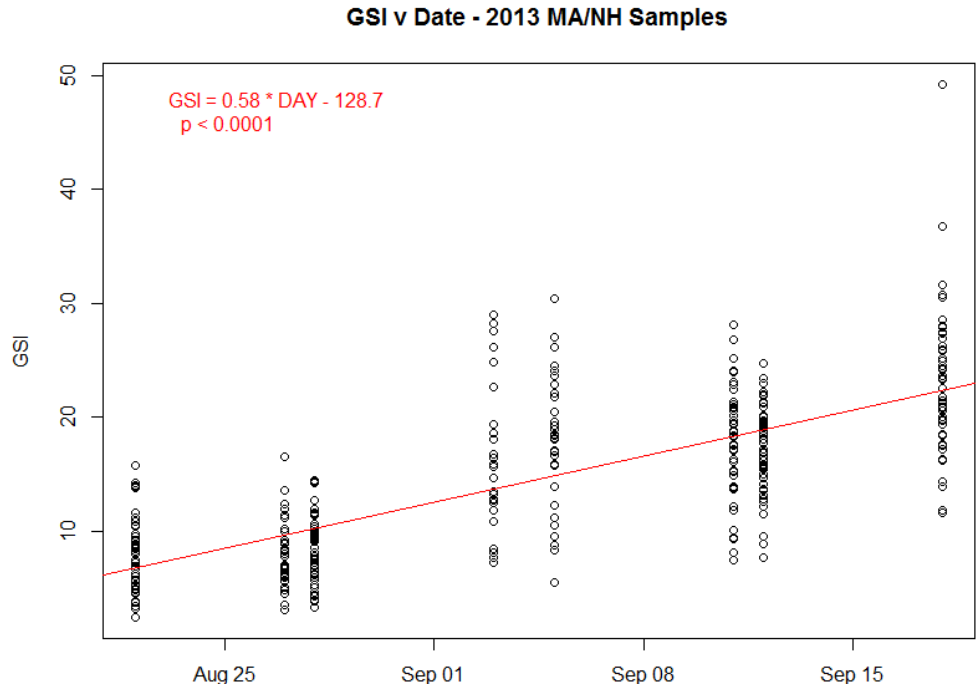
Study	Years	Method	Area	Average First Spawning	Average Last Spawning	Average Season Length (days)
Boyar et al., 1973	1972	Maturity	MA-NH	Sep 10	Oct 20	40
Cooper et al., 1975	1974	Eggs (scuba)	MA-NH	Sep 29	Oct 25	26
McCarthy et al., 1979	1972-1978	Eggs (scuba, sub, grab)	MA-NH	Sep 20	Oct 30	40
Stevenson 1989	1983-1988	Eggs (lobster traps)	EM	Aug 28	Sep 20	40

**Figure 1.** Observed GSI of female herring by ICNAF maturity stage from 2013 fishery dependent samples from the MA-NH spawning area.

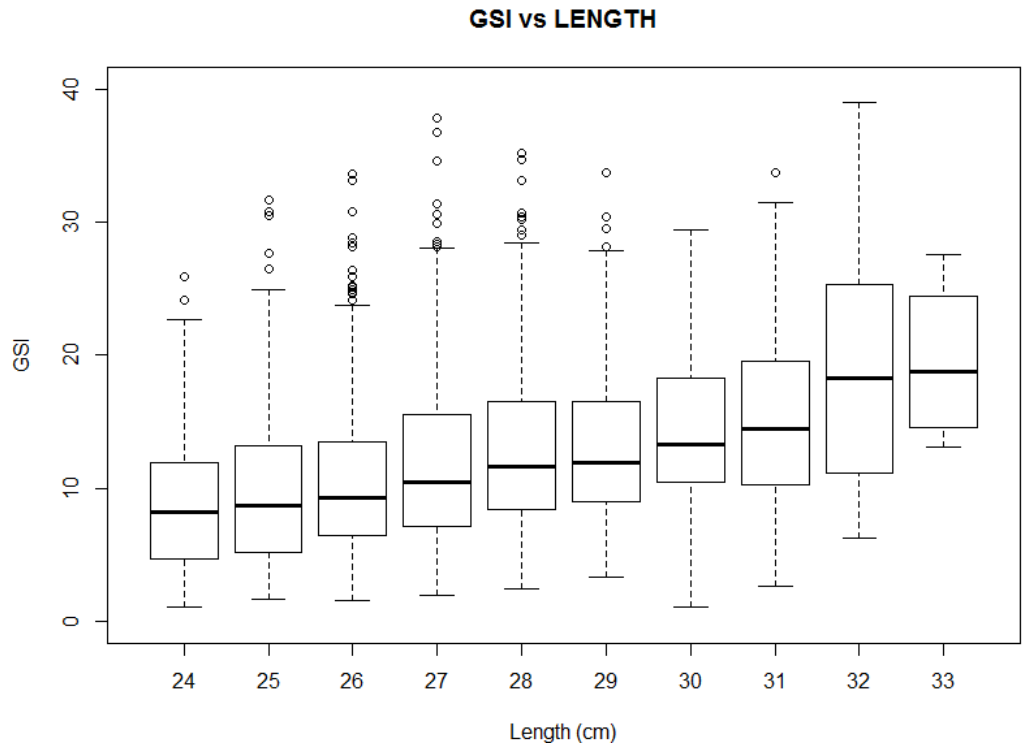




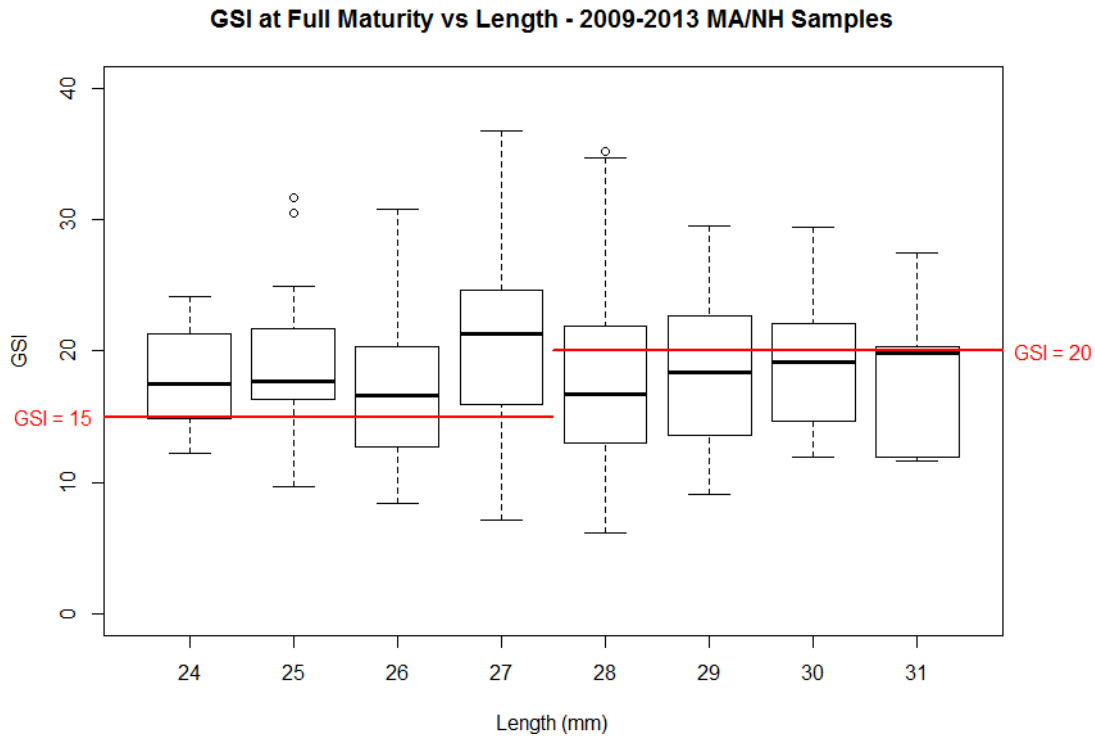
**Figure 2.** Female GSI by date from 2013 MA-NH samples. The red line indicates a significant positive linear relationship between GSI and sample date.



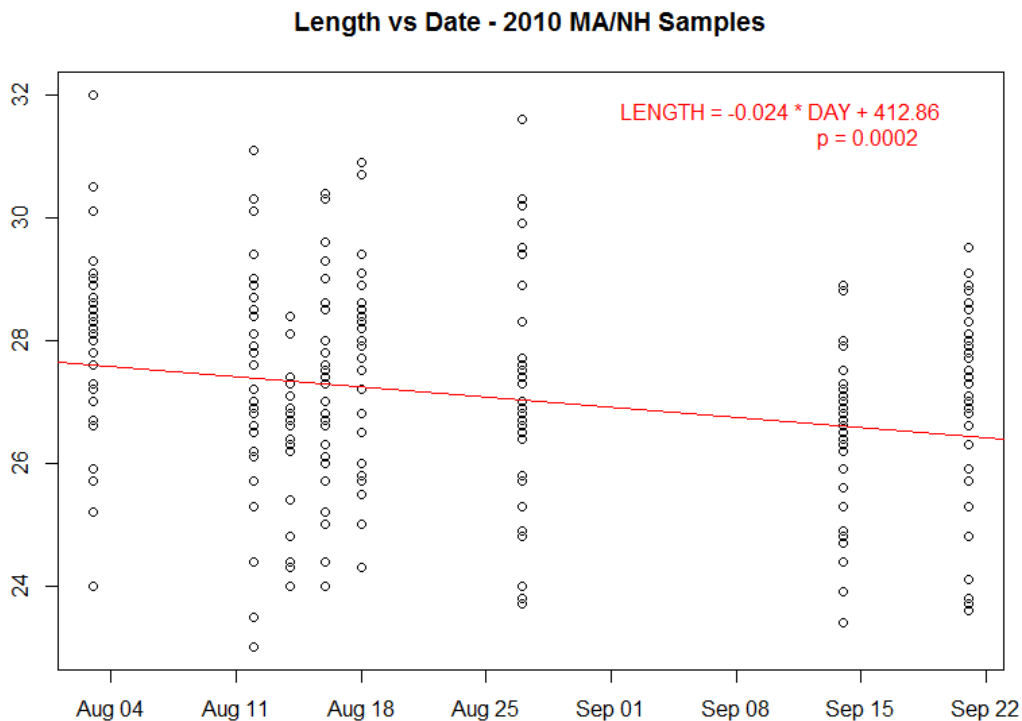
**Figure 3.** Boxplots of GSI by length bin from all sample data (based on total length).



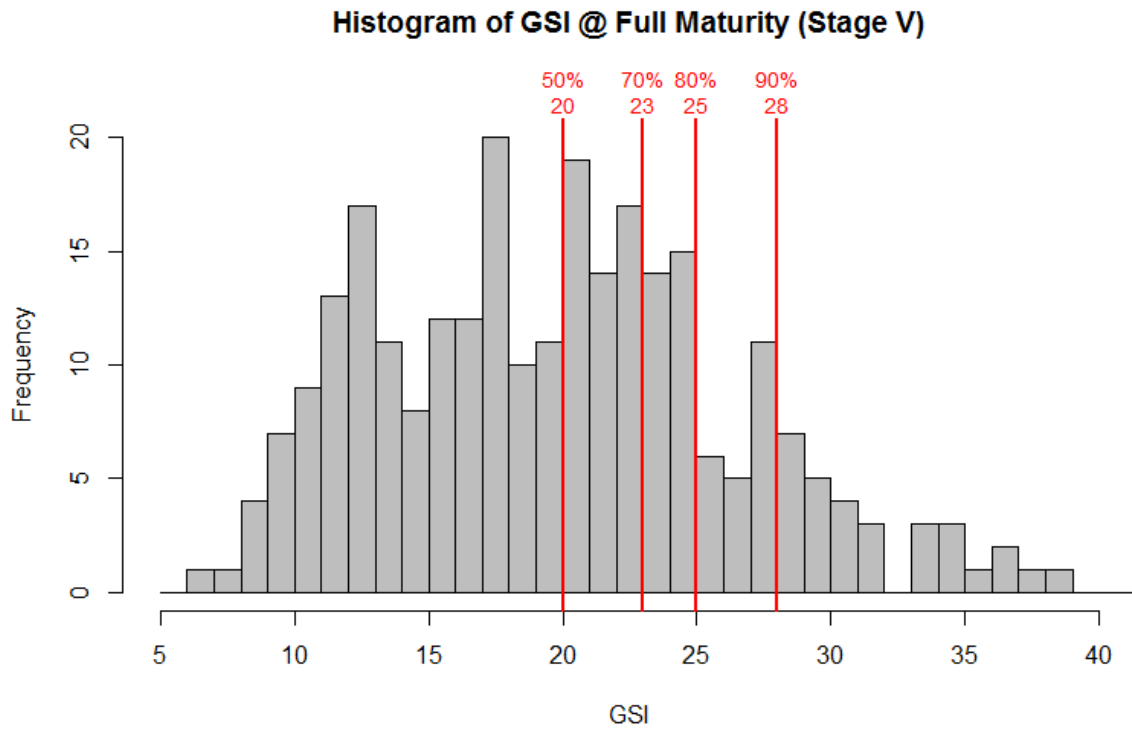
**Figure 4.** Boxplots of GSI at Stage V (full maturity) by length bin. The current size-based GSI triggers are shown in red (GSI = 15 for 24-27 cm; GSI = 20 for 28+ cm).



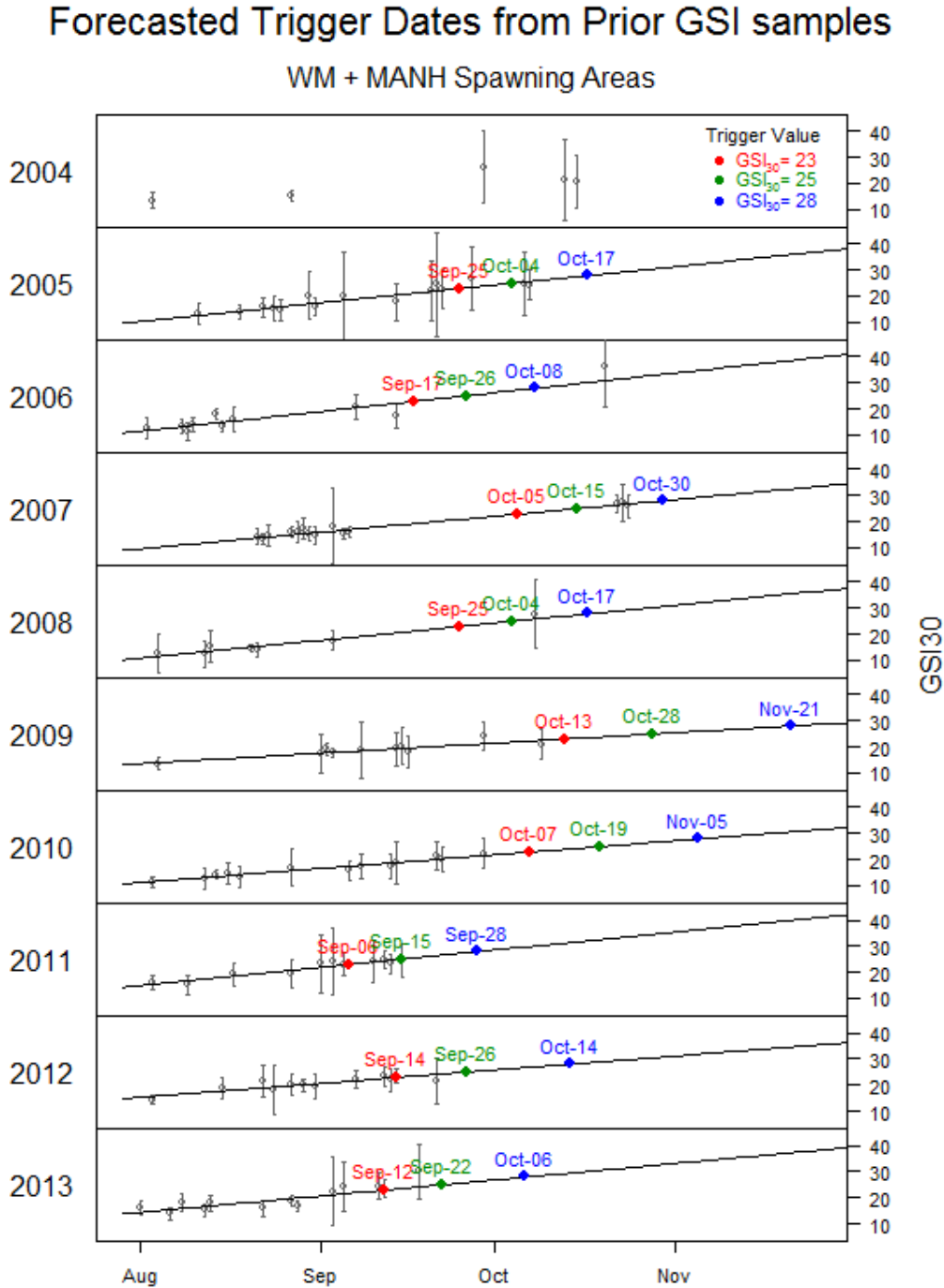
**Figure 5.** Observed fish length from MEDMR sampling of the MA-NH fishery in 2010. Note the significant decrease in observed fish length over the course of the season.



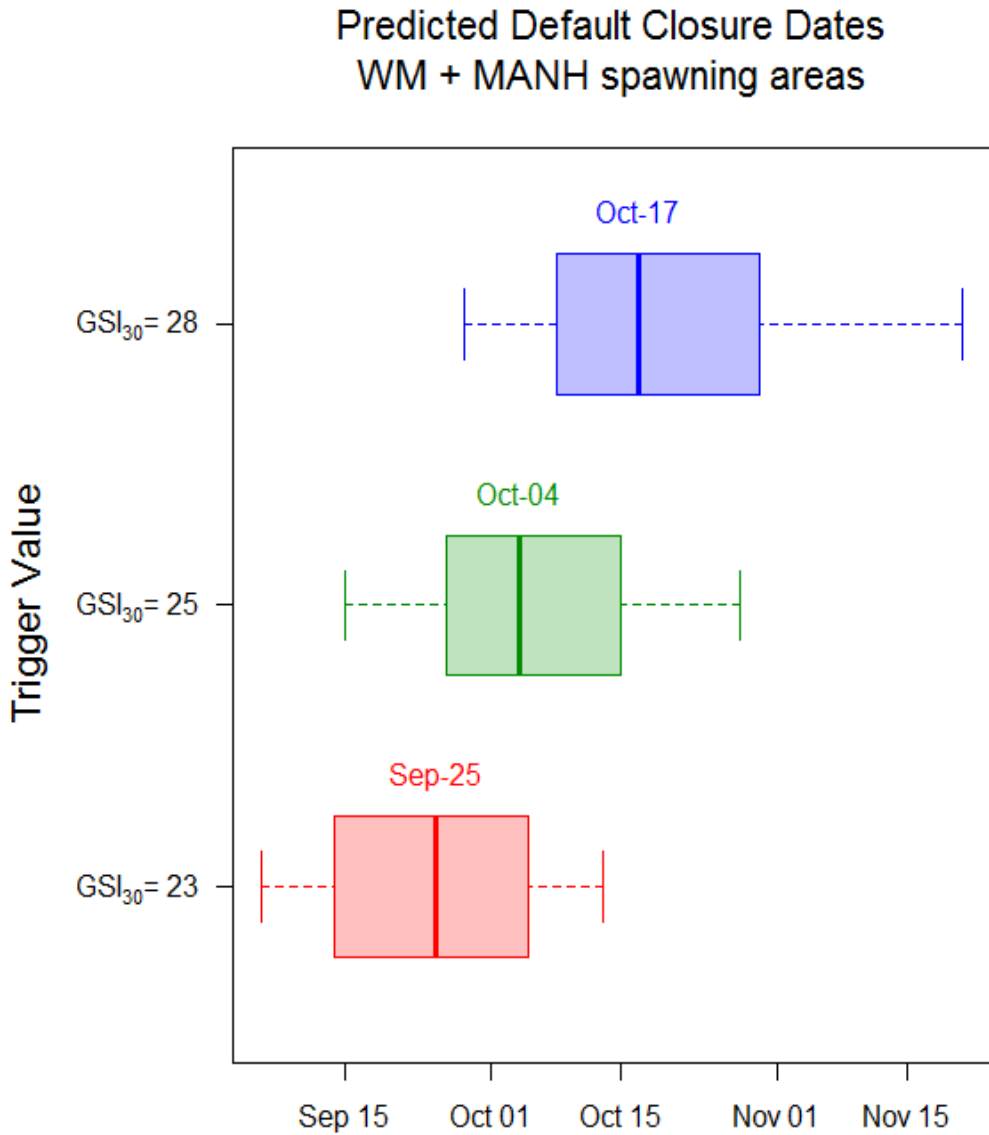
**Figure 6.** Distribution of GSI values for herring classified as Stage V (full maturity). The GSI value at a series of quantiles are shown in red.



**Figure 7.** Forecasted dates when GSI<sub>30</sub> exceeded a range of GSI<sub>trigger</sub> values for sample data from the Western Maine (WM) and Massachusetts-New Hampshire (MA-NH) spawning areas combined. A diagonal line represents a significant linear relationship between GSI<sub>30</sub> and sample date. Gray points with error bars represent the mean GSI<sub>30</sub> per sample +/- 2 standard errors.



**Figure 8.** Boxplots of forecasted trigger dates for the WM and MA-NH spawning area combined (same data from Figure 7). The median date for each trigger value is labeled and could be used to set a default closure date for when sufficient samples are unavailable to forecast a trigger date.



**Figure 9.** An example implementation of a modified GSI-based closure system using 2013 sample data from the MA-NH spawning area. A significant linear increase in GSI<sub>30</sub> is detected after six samples (Sep-1<sup>st</sup>). Projecting this relationship forward, a closure date is forecast for Sep-13<sup>th</sup>. As additional samples are collected, the linear relationship and forecasted closure date are updated. If the choice was made to select a closure date at 5 days prior, a Sep 11<sup>th</sup> closure would have been announced on Sep 6<sup>th</sup>. The gray region identifies default t closure period associated with the trigger value used in this example (GSI<sub>30</sub> = 25).

Trigger Value  
GSI<sub>30</sub>=25

### 2011 Herring GSI Monitoring WM+MANH Spawning Areas

